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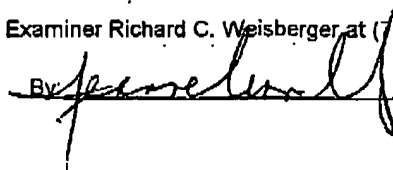
Attorney Docket No. 249768013US

I hereby certify that this correspondence is being faxed to Examiner Richard C. Weisberger at (703) 746-7240 on:

Date:

5/6/02

By:



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

NEIL ROSEMAN ET AL.

APPLICATION No.: 09/280,292

FILED: MARCH 29, 1999

FOR: METHOD AND SYSTEM FOR  
AUTHENTICATING USERS WHEN  
CONDUCTING COMMERCIAL  
TRANSACTIONS USING A  
COMPUTER

EXAMINER: RICHARD C. WEISBERGER

ART UNIT: 2164

CONFIRMATION No.: 5537

RECEIVED  
MAY 06 2002  
Technology Center 2100**Supplemental Information Disclosure Statement After First Office Action  
but Before Final Action or Notice of Allowance – 37 CFR 1.97(c)**Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Timing of Submission

The information transmitted herewith is being filed *after* three months of the filing date of this application or after the mailing date of the first Office action on the merits whichever occurred last, but *before* the mailing date of either a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, whichever occurs first. The references listed on the enclosed Form PTO/SB/08A may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

05/10/2002 BURMER 00000004 500665 092A0292

☒

01 FC:105

100.00 CH

Copies of the following references are enclosed:

- ☒ All cited references  
☐ References marked by asterisks  
☐ The following:

- ☐ Copies of the following references can be found in parent application Ser. No.
- ☐ All cited references
  - ☐ References marked by asterisks
  - ☐ The following:
- ☐ The following references are not in English. For each such reference, the undersigned has enclosed: (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference; (iii) a copy of a reference which appears to be an English-language counterpart; or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.
- ☐ All cited references
  - ☐ References marked by ampersands
  - ☐ The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment (37 CFR 1.97(c)) or Certification (37 CFR 1.97(e))

- ☒ Applicant elects to pay the fee under 37 CFR 1.17(p) \$180.00.
- ☐ Check enclosed for \$180.00
  - ☒ Please charge the above fee(s) to Deposit Account No. 50-0665 this paper is provided in triplicate.
- ☐ Applicant submits that no fee is due in light of the following certification under 37 CFR 1.97(e) (check only one):
- ☐ In accordance with 37 CFR 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing of this statement; or
  - ☐ In accordance with 37 CFR 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification

after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c), more than three months prior to the filing of this statement.

- ☒ Please charge any underpayment for timely filing of this paper to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

- ☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this statement 37 C.F.R. §1.704(d).

Date: May 6, 2002

Respectfully submitted,  
Perkins Coie LLP

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Please type a plus sign (+) inside this box → ☐

PTO SB/08A

Approved for use through 10/31/99. OMB 0551-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>COMPLETE IF KNOWN</b>	
				Application Number	09/280,292
				Confirmation Number	5547
				Filing Date	March 29, 1999
				First Named Inventor	Neil Roseman
				Group Art Unit	2164
Examiner Name	Richard C. Weisberger				
Sheet	1	of	1	Attorney Docket No.	249768013US

## U.S. PATENT DOCUMENTS

*EXAMINER INITIALS	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
	AA					
	AB					
	AC					
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## FOREIGN PATENT DOCUMENTS

*EXAMINER INITIALS	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	AK							
	AL							
	AM							
	AN							
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## OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

*EXAMINER INITIALS	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	T
	AP	Prince, Dennis L., "Online Auctions at eBay, Bid with Confidence, Sell with Success," A Division of Prima Publishing, 1999, Table of Contents (14 pages), Chapter 8, "Go Ahead, Place Your Bid," (pages 121-141)	
	AQ		
	AR		

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).